

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER 37 CFR 52, 53, and/or 85

UM-10/2003

In re Application of: Paul Mantz

Attention: Mail Stop Missing Parts

Serial No.: 10/781,323

Date: September 16, 2004

Filed: February 18, 2004

Attorney Docket No.: AGX-78

Confirmation No.: 9445

Group Art Unit: 3652

Title: Endeffectors For Handling Semiconductor Wafers

Our Customer ID: 22827

Commissioner for Patents

Our Account No.: 04-1403

U.S. Patent and Trademark Office

Post Office Box 1450

Alexandria, VA 22313-1450

Sir:

The following completes the filing of the above-identified patent application under Rule 52, 53, and/or 85:

☒ Rule 53 signed Declaration enclosed.

☐ This is a Rule 47 filing per attached Petition.

☐ Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of specification/claims originally filed to secure above filing date.

☐ Specification originally filed in non-English language; hence verified translation attached of:

☐ Abstract ☐ pages of Specification (only spec. & claims) ☐ Drawing Figs.

☐ sheet(s) of formal drawings enclosed: size: ☐ A4 ☐ 14" ☐ 8 1/2 by 11

☒ Attached is an assignment to Mattson Technology, Inc.

☐ Priority is hereby claimed under rule 55 and 35 U.S.C. 119 based on prior foreign application(s) no(s).

\_\_\_\_\_, filed in \_\_\_\_\_ on \_\_\_\_\_, respectively.

Certified copy (copies) ☐ attached; ☐ already filed on \_\_\_\_\_ in U.S. Application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

☐ "Small Entity" status under Rule 27 claimed. ☐ Attached: \_\_\_\_\_ Verified Statement(s) establishing "small entity."

☒ Attached is the request return copy of the PTO Notice under Rule 52, 53, and/or 85.

☒ Attached: Claim for Priority

☐ Preliminary Amendment: \_\_\_\_\_

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED  
PER MPEP § 506 AND § 607**

If not earlier paid, add Basic Filing Fee .....	\$ 770.00
Total Effective Claims <u>100</u> - 20 = <u>80</u> x \$18.00 =	\$ 1440.00
Independent Claims <u>6</u> - 3 = <u>3</u> x \$86.00 =	\$ 258.00
If any proper multiple dependent claim (ignore improper) is present, add \$290.00	\$ .00
Filing Fee	\$ .00

Since PTO Notice under Rule 52, 53, and/or 85 set an original due date of July 17, 2004, petition is hereby made for an extension to cover the date this response is filed for which the requisite fee is enclosed (1 month \$110; 2 months \$420; 3 months \$950; 4 months \$1480). \$ 420.00

If this is the first time either signed Declaration filed or filing fee paid, add Surcharge (\$130) \$ 130.00

Subtotal

\$ .00

If "small entity" status box above is X'd, enter half (1/2) of subtotal & subtract - \$ .00

Total

\$ .00

If "non-English" box above is X'd, add Rule 17(i) or (k) processing fee \$130.00) ..... \$ .00

If "assignment" box is X'd, add recording fee (\$40.00). ..... \$ 40.00

If "Rule 47" box above is X-d, add Petition fee \$130.00 Rule 17(h). ..... \$ .00

**TOTAL FEE ENCLOSED \$ 3058.00**

The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

ADDRESS:

DORITY & MANNING, ATTORNEYS AT LAW, P.A.

Post Office Box 1449

By: Timothy A. Cassidy Reg. No. 38,024 Date: 9/16/04

Greenville, SC 29602

Customer ID No.: 22827

Telephone: 864-271-1592 / Facsimile: 864-233-7342

Signature: 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Mail Stop Missing Parts, Post Office Box 1450, Alexandria, VA 22313-1450, on September 16, 2004.

Pamela Knorr

09/21/2004 JBALINAN 0000053 10781323

05 FC:1252

420.00 DP

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)



**PATENT**

**ATTORNEY DOCKET NO.: AGX-78**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Paul Mantz	)	
	)	Examiner: Unknown
	)	
Serial No.: 10/781,323	)	Art Unit: 3652
	)	
Filed: February 18, 2004	)	Deposit Acct. No.: 04-1403
	)	
Title: Endeffectors For Handling	)	Client ID: 22827
Semiconductor Wafers	)	

**CLAIM FOR PRIORITY**

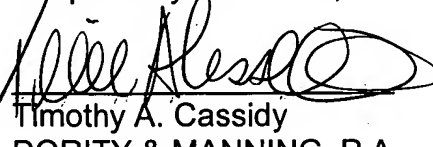
Commissioner of Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

As stated on the first page of the application, Applicant has requested claim for priority of the above application to U.S. Provisional Application No. 60/483,425, filed June 27, 2003.

September 16, 2004  
Date

Respectfully submitted,

  
Timothy A. Cassidy  
DORITY & MANNING, P.A.

P.O. Box 1449  
Greenville, SC 29602  
(864) 271-1592  
(864) 233-7342



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/781,323	02/18/2004		AGX-78

22827  
 DORITY & MANNING, P.A.  
 POST OFFICE BOX 1449  
 GREENVILLE, SC 29602-1449



CONFIRMATION NO. 9445

## FORMALITIES LETTER



\*OC000000012680820\*

Date Mailed: 05/17/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/21/2004 JBALINAN 00000053 10781323

FILED UNDER 37 CFR 1.53(b)

01 FC:1001	770.00 OP
02 FC:1051	130.00 OP
03 FC:1201	258.00 OP
04 FC:1202	1440.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$1698** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$2598** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

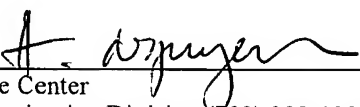
09/21/2004

- Total additional claim fee(s) for this application is **\$1698**
  - **\$258** for 3 independent claims over 3.
  - **\$1440** for 80 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE